## 1

# No-Cloning In Categorical Quantum <br> Mechanics 

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#### Abstract

The No-Cloning theorem is a basic limitative result for quantum mechanics, with particular significance for quantum information. It says that there is no unitary operation which makes perfect copies of an unknown (pure) quantum state. A stronger form of this result is the No-Broadcasting theorem, which applies to mixed states. There is also a No-Deleting theorem. Recently, the author and Bob Coecke have introduced a categorical formulation of Quantum Mechanics, as a basis for a more structural, high-level approach to quantum information and computation. This has been elaborated by ourselves, our colleagues, and other workers in the field, and has been shown to yield an effective and illuminating treatment of a wide range of topics in quantum information. Diagrammatic calculi for tensor categories, suitably extended to incorporate the various additional structures which have been used to reflect fundamental features of quantum mechanics, play an important role, both as an intuitive and vivid visual presentation of the formalism, and as an effective calculational device. It is clear that such a novel reformulation of the mathematical formalism of quantum mechanics, a subject more or less set in stone since Von Neumann's classic treatise, has the potential to yield new insights into the foundations of quantum mechanics. In the present paper, we shall use it to open up a novel perspective on No-Cloning. What we shall find, quite unexpectedly, is a link to some fundamental issues in logic, computation, and the foundations of mathematics. A striking feature of our results is that they are visibly in the same genre as a well-known result by Joyal in categorical logic showing that a 'Boolean cartesian closed category' trivializes, which provides a major road-block to the computational interpretation


of classical logic. In fact, they strengthen Joyal's result, insofar as the assumption of a full categorical product (diagonals and projections) in the presence of a classical duality is weakened. This shows a heretofore unsuspected connection between limitative results in proof theory and No-Go theorems in quantum mechanics.

### 1.1 Introduction

The No-Cloning theorem Dieks (1982); Wootters and Zurek (1982) is a basic limitative result for quantum mechanics, with particular significance for quantum information. It says that there is no unitary operation which makes perfect copies of an unknown (pure) quantum state. A stronger form of this result is the No-Broadcasting theorem Barnum et al. (1996), which applies to mixed states. There is also a No-Deleting theorem Pati and Braunstein (2000).

Recently, the author and Bob Coecke have introduced a categorical formulation of Quantum Mechanics Abramsky and Coecke (2004, 2005, 2008), as a basis for a more structural, high-level approach to quantum information and computation. This has been elaborated by ourselves, our colleagues, and other workers in the field Abramsky (2004, 2005, 2007); Abramsky and Duncan (2006); Coecke and Pavlovic (2007); Coecke and Duncan (2008); Selinger (2007); Vicary (2007), and has been shown to yield an effective and illuminating treatment of a wide range of topics in quantum information. Diagrammatic calculi for tensor categories Joyal and Street (1991); Turaev (1994), suitably extended to incorporate the various additional structures which have been used to reflect fundamental features of quantum mechanics, play an important rôle, both as an intuitive and vivid visual presentation of the formalism, and as an effective calculational device.
It is clear that such a novel reformulation of the mathematical formalism of quantum mechanics, a subject more or less set in stone since von Neumann's classic treatise von Neumann (1932), has the potential to yield new insights into the foundations of quantum mechanics. In the present paper, we shall use it to open up a novel perspective on No-Cloning. What we shall find, quite unexpectedly, is a link to some fundamental issues in logic, computation, and the foundations of mathematics. A striking feature of our results is that they are visibly in the same genre as a well-known result by Joyal in categorical logic Lambek and Scott (1986) showing that a 'Boolean cartesian closed category' trivializes, which provides a major road-block to the computational in-
terpretation of classical logic. In fact, they strengthen Joyal's result, insofar as the assumption of a full categorical product (diagonals and projections) in the presence of a classical duality is weakened. This shows a heretofore unsuspected connection between limitative results in proof theory and No-Go theorems in quantum mechanics.

The further contents of the paper are as follows:

- In the next section, we shall briefly review the three-way link between logic, computation and categories, and recall Joyal's lemma.
- In section 3, we shall review the categorical approach to quantum mechanics.
- Our main results are in section 4 , where we prove our limitative result, which shows the incompatibility of structural features corresponding to quantum entanglement (essentially, the existence of Bell states enabling teleportation) with the existence of a 'natural' (in the categorical sense, corresponding essentially to basis-independent) copying operation. This result is mathematically robust, since it is proved in a very general context, and has a topological content which is clearly revealed by a diagrammatic proof. At the same time it is delicately poised, since non-natural, basis-dependent copying operations do in fact play a key rôle in the categorical formulation of quantum notions of measurement. We discuss this context, and the conceptual reading of the results.
- We conclude with some discussion of extensions of the results, further directions, and open problems.


### 1.2 Categories, Logic and Computational Content: Joyal's Lemma

Categorical logic Lambek and Scott (1986) and the Curry-Howard correspondence in Proof Theory Sørensen and Urzyczyn (2006) give us a beautiful three-way correspondence:


More particularly, we have as a paradigmatic example:


Here we are focussing on the fragment of intuitionistic logic containing conjunction and implication, and the simply-typed $\lambda$-calculus with product types.

We shall assume familiarity with basic notions of category theory MacLane (1998); Lawvere and Schanuel (1997). Recall that a cartesian closed category is a category with a terminal object, binary products and exponentials. The basic cartesian closed adjunction is

$$
\mathcal{C}(A \times B, C) \cong \mathcal{C}(A, B \Rightarrow C)
$$

More explicitly, a category $\mathcal{C}$ with finite products has exponentials if for all objects $A$ and $B$ of $\mathcal{C}$ there is a couniversal arrow from $-\times A$ to $B$, i.e. an object $A \Rightarrow B$ of $\mathcal{C}$ and a morphism

$$
\mathrm{ev}_{A, B}:(A \Rightarrow B) \times A \longrightarrow B
$$

with the couniversal property: for every $g: C \times A \longrightarrow B$, there is a unique morphism $\Lambda(g): C \longrightarrow A \Rightarrow B$ such that


The correspondence between the intuitionistic logic of conjunction and implication and cartesian closed categories is summarized in the following table:

| Axiom | $\overline{\Gamma, A \vdash A} \mathrm{ld}$ | $\overline{\pi_{2}: \Gamma \times A \longrightarrow A}$ |
| :---: | :---: | :---: |
| Conjunction | $\begin{gathered} \frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \wedge B} \wedge \mathrm{I} \\ \frac{\Gamma \vdash A \wedge B}{\Gamma \vdash A} \wedge \mathrm{E}_{1} \\ \frac{\Gamma \vdash A \wedge B}{\Gamma \vdash B} \wedge \mathrm{E}_{2} \end{gathered}$ | $\begin{gathered} f: \Gamma \longrightarrow A \quad g: \Gamma \longrightarrow B \\ \langle f, g\rangle: \Gamma \longrightarrow A \times B \\ \frac{f: \Gamma \longrightarrow A \times B}{\pi_{1} \circ f: \Gamma \longrightarrow A} \\ \frac{f: \Gamma \longrightarrow A \times B}{\pi_{2} \circ f: \Gamma \longrightarrow B} \end{gathered}$ |
| Implication | $\begin{gathered} \frac{\Gamma, A \vdash B}{\Gamma \vdash A \supset B} \supset \mathrm{I} \\ \frac{\Gamma \vdash A \supset B \quad \Gamma \vdash A}{\Gamma \vdash B} \supset \mathrm{E} \end{gathered}$ | $\begin{gathered} \frac{f: \Gamma \times A \longrightarrow B}{\Lambda(f): \Gamma \longrightarrow(A \Rightarrow B)} \\ \frac{f: \Gamma \longrightarrow(A \Rightarrow B) \quad g: \Gamma \rightarrow A}{\mathrm{ev}_{A, B} \circ\langle f, g\rangle: \Gamma \longrightarrow B} \end{gathered}$ |

### 1.2.1 Joyal's Lemma

It is a very natural idea to seek to extend the correspondence shown above to the case of classical logic. Joyal's lemma shows that there is a fundamental impediment to doing so. $\dagger$

The natural extension of the notion of cartesian closed category, which corresponds to the intuitionistic logic of conjunction and implication, to the classical case is to introduce a suitable notion of classical negation. We recall that it is customary in intuitionistic logic to define the negation by

$$
\neg A:=A \supset \perp
$$

where $\perp$ is the falsum. The characteristic property of the falsum is that it implies every proposition. In categorical terms, this translates into the notion of an initial object. Note that for any fixed object $B$ in a cartesian closed category, there is a well-defined contravariant functor

$$
\mathcal{C} \longrightarrow \mathcal{C}^{\mathrm{op}}:: A \mapsto(A \Rightarrow B) .
$$

This will always satisfy the properties corresponding to negation in min-
$\dagger$ It is customary to refer to this result as Joyal's lemma, although, apparently, he never published it. The usual reference is Lambek and Scott (1986), who attribute the result to Joyal, but follow the proof given by Freyd Freyd (1972). Our statement and proof are somewhat different to those in Lambek and Scott (1986).
imal logic, and if $B=\perp$ is the initial object in $\mathcal{C}$, then it will satisfy the laws of intuitionistic negation. In particular, there is a canonical arrow

$$
A \longrightarrow(A \Rightarrow \perp) \Rightarrow \perp
$$

which is just the curried form of the evaluation morphism. This corresponds to the valid intuitionistic principle $A \supset \neg \neg A$. What else is needed in order to obtain classical logic? As is well known, the missing principle is that of proof by contradiction: the converse implication $\neg \neg A \supset A$.

This leads us to the following notion. A dualizing object $\perp$ in a closed category is one for which the canonical arrow

$$
A \longrightarrow(A \Rightarrow \perp) \Rightarrow \perp
$$

is an isomorphism for all $A$.
We can now state Joyal's lemma:
Proposition 1 (Joyal's Lemma) Any cartesian closed category with a dualizing object is a preorder (hence trivial as a semantics for proofs or computational processes).
Proof Note firstly that, if $\perp$ is dualizing, the induced negation functor $\mathcal{C} \longrightarrow \mathcal{C}^{\text {op }}$ is a contravariant equivalence $\mathcal{C} \simeq \mathcal{C}^{\text {op }}$. Since $(\top \Rightarrow A) \cong A$ where $T$ is the terminal object, it follows that $\perp$ is the dual of $T$, and hence initial. So it suffices to prove Joyal's lemma under the assumption that the dualizing object is initial.

We assume that $\perp$ is a dualizing initial object in a cartesian closed category $\mathcal{C}$. By cartesian closure, $\mathcal{C}(A \times \perp, B) \cong \mathcal{C}(\perp, A \Rightarrow B)$, which is a singleton by initiality of $\perp$. It follows that $A \times \perp$ is initial. $\dagger$

Now

$$
\begin{equation*}
\mathcal{C}(A, B) \cong \mathcal{C}(B \Rightarrow \perp, A \Rightarrow \perp) \cong \mathcal{C}((B \Rightarrow \perp) \times A, \perp) \tag{1.1}
\end{equation*}
$$

Given any $h, k: C \longrightarrow \perp$, note that

$$
h=\pi_{1} \circ\langle h, k\rangle, \quad k=\pi_{2} \circ\langle h, k\rangle .
$$

But $\perp \times \perp \cong \perp$, hence by initiality $\pi_{1}=\pi_{2}$, and so $h=k$, which by (1.1) implies that $f=g$ for $f, g: A \longrightarrow B$.
$\dagger$ A slicker proof simply notes that $A \times(-)$ is a left adjoint by cartesian closure, and hence preserves all colimits, in particular initial objects.

### 1.2.2 Linearity and Classicality

However, we know from Linear Logic that there is no impediment to having a closed structure with a dualizing object, provided we weaken our assumption on the underlying context-building structure, from cartesian $\times$ to monoidal $\otimes$.
Then we get a wealth of examples of $*$-autonomous categories Barr (1979), which stand to Multiplicative Linear Logic as cartesian closed categories do to Intuitionistic Logic Seely (1998).

Joyal's lemma can thus be stated in the following equivalent form.
Proposition $2 A$ *-autonomous category in which the monoidal structure is cartesian is a preorder.

Essentially, a cartesian structure is a monoidal structure plus natural diagonals, and with the tensor unit a terminal object, i.e. plus cloning and deleting!

### 1.3 Categorical Quantum Mechanics

In this section, we shall provide a brief review of the structures used in categorical quantum mechanics, their graphical representation, and how these structures are used in formalizing some key features of quantum mechanics. Further details can be found elsewhere Abramsky and Coecke (2008); Abramsky (2005); Selinger (2007).

### 1.3.1 Symmetric Monoidal Categories

We recall that a monoidal category is a structure $(\mathcal{C}, \otimes, I, a, l, r)$ where:

- $\mathcal{C}$ is a category,
- $\otimes: \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$ is a functor (tensor),
- $I$ is a distinguished object of $\mathcal{C}$ (unit),
- $a, l, r$ are natural isomorphisms (structural isos) with components:

$$
\begin{aligned}
& a_{A, B, C}: A \otimes(B \otimes C) \cong(A \otimes B) \otimes C \\
& l_{A}: I \otimes A \cong A \quad r_{A}: A \otimes I \cong A
\end{aligned}
$$

such that certain diagrams commute, which ensure coherence MacLane (1998), described by the slogan:

All diagrams only involving $a, l$ and $r$ must commute.

Examples:

- Both products and coproducts give rise to monoidal structures which are the common denominator between them. (But in addition, products have diagonals and projections, and coproducts have codiagonals and injections.)
- $(\mathbb{N}, \leqslant,+, 0)$ is a monoidal category.
- Rel, the category of sets and relations, with cartesian product (which is not the categorical product).
- Vect $_{k}$ with the standard tensor product.

Let us examine the example of Rel in some detail. We take $\otimes$ to be the cartesian product, which is defined on relations $R: X \rightarrow X^{\prime}$ and $S: Y \rightarrow Y^{\prime}$ as follows.

$$
\forall(x, y) \in X \times Y,\left(x^{\prime}, y^{\prime}\right) \in X^{\prime} \times Y^{\prime} .(x, y) R \otimes S\left(x^{\prime}, y^{\prime}\right) \Longleftrightarrow x R x^{\prime} \wedge y S y^{\prime}
$$

It is not difficult to show that this is indeed a functor. Note that, in the case that $R, S$ are functions, $R \otimes S$ is the same as $R \times S$ in Set. Moreover, we take each $a_{A, B, C}$ to be the associativity function for products (in Set), which is an iso in Set and hence also in Rel. Finally, we take $I$ to be the one-element set, and $l_{A}, r_{A}$ to be the projection functions: their relational converses are their inverses in Rel. The monoidal coherence diagrams commute simply because they commute in Set.

Tensors and products As mentioned earlier, products are tensors with extra structure: natural diagonals and projections, corresponding to cloning and deleting operations. This fact is expressed more precisely as follows.

Proposition 3 Let $\mathcal{C}$ be a monoidal category $(\mathcal{C}, \otimes, I, a, l, r)$. The tensor $\otimes$ induces a product structure iff there exist natural diagonals and projections, i.e. natural transformations
$\Delta_{A}: A \longrightarrow A \otimes A, \quad p_{A, B}: A \otimes B \longrightarrow A, \quad q_{A, B}: A \otimes B \longrightarrow B$,
such that the following diagrams commute.


Symmetry A symmetric monoidal category is a monoidal category $(\mathcal{C}, \otimes, I, a, l, r)$ with an additional natural isomorphism (symmetry),

$$
\sigma_{A, B}: A \otimes B \cong B \otimes A
$$

such that $\sigma_{B, A}=\sigma_{A, B}^{-1}$, and some additional coherence diagrams commute.

### 1.3.2 Scalars

Let $(\mathcal{C}, \otimes, I, l, a, l, r)$ be a monoidal category. We define a scalar in $\mathcal{C}$ to be a morphism $s: I \rightarrow I$, i.e. an endomorphism of the tensor unit.

Example 4 In $\mathbf{F d V e c}_{\mathbb{K}}$, linear maps $\mathbb{K} \rightarrow \mathbb{K}$ are uniquely determined by the image of 1 , and hence are in bijective correspondence with elements of $\mathbb{K}$; composition corresponds to multiplication of scalars. In Rel, there are just two scalars, corresponding to the Boolean values 0 , 1.

The (multiplicative) monoid of scalars is then just the endomorphism monoid $\mathcal{C}(I, I)$. The first key point is the elementary but beautiful observation by Kelly and Laplaza Kelly and Laplaza (1980) that this monoid is always commutative.

Lemma $5 \mathcal{C}(I, I)$ is a commutative monoid

## Proof


using the coherence equation $l_{I}=r_{I}$.
The second point is that a good notion of scalar multiplication exists at this level of generality. That is, each scalar $s: I \rightarrow I$ induces a natural transformation

$$
s_{A}: A \xrightarrow{\simeq} I \otimes A \xrightarrow{s \otimes 1_{A}} I \otimes A \xrightarrow{\simeq} A .
$$

with the naturality square


We write $s \bullet f$ for $f \circ s_{A}=s_{B} \circ f$. Note that

$$
\begin{array}{ll}
1 \bullet f & =f \\
s \bullet(t \bullet f) & =(s \circ t) \bullet f \\
(s \bullet g) \circ(t \bullet f) & =(s \circ t) \bullet(g \circ f) \\
(s \bullet f) \otimes(t \bullet g) & =(s \circ t) \bullet(f \otimes g)
\end{array}
$$

which exactly generalizes the multiplicative part of the usual properties of scalar multiplication. Thus scalars act globally on the whole category.

### 1.3.3 Compact Closed Categories

A category $\mathbf{C}$ is *-autonomous $\operatorname{Barr}$ (1979) if it is symmetric monoidal, and comes equipped with a full and faithful functor

$$
()^{*}: \mathbf{C}^{o p} \rightarrow \mathbf{C}
$$

such that a bijection

$$
\mathbf{C}\left(A \otimes B, C^{*}\right) \simeq \mathbf{C}\left(A,(B \otimes C)^{*}\right)
$$

exists which is natural in all variables. Hence a $*$-autonomous category is closed, with

$$
A \multimap B:=\left(A \otimes B^{*}\right)^{*}
$$

These *-autonomous categories provide a categorical semantics for the multiplicative fragment of linear logic Seely (1998).

A compact closed category Kelly and Laplaza (1980) is a $*$-autonomous category with a self-dual tensor, i.e. with natural isomorphisms

$$
u_{A, B}:(A \otimes B)^{*} \simeq A^{*} \otimes B^{*} \quad u_{I}: I^{*} \simeq I
$$

It follows that

$$
A \multimap B \simeq A^{*} \otimes B
$$

An alternative definition arises when one considers a symmetric monoidal category as a one-object bicategory. In this context, compact closure simply means that every object $A$, qua 1-cell of the bicategory, has a specified adjoint Kelly and Laplaza (1980).
Definition 6 (Kelly-Laplaza) A compact closed category is a symmetric monoidal category in which to each object $A$ a dual object $A^{*}$, a unit

$$
\eta_{A}: \mathrm{I} \rightarrow A^{*} \otimes A
$$

and a counit

$$
\epsilon_{A}: A \otimes A^{*} \rightarrow \mathrm{I}
$$

are assigned, in such a way that the diagram

and the dual one for $A^{*}$ both commute.

Examples The symmetric monoidal categories (Rel, $\times$ ) of sets, relations and cartesian product and $\left(\mathbf{F d V e c}_{\mathbb{K}}, \otimes\right)$ of finite-dimensional vector spaces over a field $\mathbb{K}$, linear maps and tensor product are both compact closed. In $($ Rel,$\times)$, we simply set $X^{*}=X$. Taking a one-point set $\{*\}$ as the unit for $\times$, and writing $R^{\cup}$ for the converse of a relation $R$ :

$$
\eta_{X}=\epsilon_{X}^{\cup}=\{(*,(x, x)) \mid x \in X\}
$$

For $\left(\mathbf{F d V e c}_{\mathbb{K}}, \otimes\right)$, we take $V^{*}$ to be the dual space of linear functionals on $V$. The unit and counit in $\left(\mathbf{F d V e c} \mathbf{c}_{\mathbb{K}}, \otimes\right)$ are

$$
\eta_{V}: \mathbb{K} \rightarrow V^{*} \otimes V:: 1 \mapsto \sum_{i=1}^{n} \bar{e}_{i} \otimes e_{i}
$$

and

$$
\epsilon_{V}: V \otimes V^{*} \rightarrow \mathbb{K}:: e_{i} \otimes \bar{e}_{j} \mapsto \bar{e}_{j}\left(e_{i}\right)
$$

where $n$ is the dimension of $V,\left\{e_{i}\right\}_{i=1}^{n}$ is a basis of $V$ and $\bar{e}_{i}$ is the linear functional in $V^{*}$ determined by $\bar{e}_{j}\left(e_{i}\right)=\delta_{i j}$.

Definition 7 The name $\ulcorner f\urcorner$ and the coname $\llcorner f\lrcorner$ of a morphism $f$ : $A \rightarrow B$ in a compact closed category are


For $R \in \operatorname{Rel}(X, Y)$ we have

$$
\ulcorner R\urcorner=\{(*,(x, y)) \mid x R y, x \in X, y \in Y\}
$$

and

$$
\llcorner R\lrcorner=\{((x, y), *) \mid x R y, x \in X, y \in Y\}
$$

and for $f \in \mathbf{F d V e c}_{\mathbb{K}}(V, W)$ with $\left(m_{i j}\right)$ the matrix of $f$ in bases $\left\{e_{i}^{V}\right\}_{i=1}^{n}$ and $\left\{e_{j}^{W}\right\}_{j=1}^{m}$ of $V$ and $W$ respectively

$$
\ulcorner f\urcorner: \mathbb{K} \rightarrow V^{*} \otimes W:: 1 \mapsto \sum_{i, j=1}^{n, m} m_{i j} \cdot \bar{e}_{i}^{V} \otimes e_{j}^{W}
$$

and

$$
\llcorner f\lrcorner: V \otimes W^{*} \rightarrow \mathbb{K}:: e_{i}^{V} \otimes \bar{e}_{j}^{W} \mapsto m_{i j}
$$

Given $f: A \rightarrow B$ in any compact closed category $\mathbf{C}$ we can define $f^{*}: B^{*} \rightarrow A^{*}$ as


This operation ( )* is functorial and makes Definition 6 coincide with the one given at the beginning of this section. It then follows by

$$
\mathbf{C}\left(A \otimes B^{*}, \mathrm{I}\right) \cong \mathbf{C}(A, B) \cong \mathbf{C}\left(I, A^{*} \otimes B\right)
$$

that every morphism of type $I \rightarrow A^{*} \otimes B$ is the name of some morphism of type $A \rightarrow B$ and every morphism of type $A \otimes B^{*} \rightarrow \mathrm{I}$ is the coname of some morphism of type $A \rightarrow B$. In the case of the unit and the counit we have

$$
\eta_{A}=\left\ulcorner 1_{A}\right\urcorner \quad \text { and } \quad \epsilon_{A}=\left\llcorner 1_{A}\right\lrcorner
$$

For $R \in \operatorname{Rel}(X, Y)$ the dual is the converse, $R^{*}=R^{\cup} \in \operatorname{Rel}(Y, X)$, and for $f \in \mathbf{F d V e c}_{\mathbb{K}}(V, W)$, the dual is

$$
f^{*}: W^{*} \rightarrow V^{*}:: \phi \mapsto \phi \circ f
$$

### 1.3.4 Dagger Compact Categories

In order to fully capture the salient structure of FdHilb, the category of finite-dimensional complex Hilbert spaces and linear maps, an important refinement of compact categories, to dagger- (or strongly-) compact categories, was introduced in Abramsky and Coecke (2004, 2005). We shall not make any significant use of this refined definition in this paper, since our results hold at the more general level of compact categories. $\dagger$ Nevertheless, we give the definition since we shall refer to this notion later.

We shall adopt the most concise and elegant axiomatization of strongly

[^0]or dagger compact closed categories, which takes the adjoint as primitive, following Abramsky and Coecke (2005). It is convenient to build the definition up in several stages, as in Selinger (2007).

Definition $8 \quad A$ dagger category is a category $\mathcal{C}$ equipped with a contravariant, identity-on-objects, strictly involutive functor $f \mapsto f^{\dagger}$ :

$$
1^{\dagger}=1, \quad(g \circ f)^{\dagger}=f^{\dagger} \circ g^{\dagger}, \quad f^{\dagger \dagger}=f
$$

We define an arrow $f: A \rightarrow B$ in a dagger category to be unitary if it is an isomorphism such that $f^{-1}=f^{\dagger}$. An endomorphism $f: A \rightarrow A$ is self-adjoint if $f=f^{\dagger}$.

Definition $9 \quad A$ dagger symmetric monoidal category

$$
(\mathcal{C}, \otimes, I, a, l, r, \sigma, \dagger)
$$

combines dagger and symmetric monoidal structure, with the requirement that the natural isomorphisms a, l, r, $\sigma$ are componentwise unitary, and moreover that $\dagger$ is a strict monoidal functor:

$$
(f \otimes g)^{\dagger}=f^{\dagger} \otimes g^{\dagger}
$$

Finally we come to the main definition.
Definition 10 A dagger compact category is a dagger symmetric monoidal category which is compact closed, and such that the following diagram commutes:


This implies that the counit is definable from the unit and the adjoint:

$$
\epsilon_{A}=\eta_{A}^{\dagger} \circ \sigma_{A, A^{*}}
$$

and similarly the unit can be defined from the counit and the adjoint. Furthermore, it is in fact possible to replace the two commuting diagrams required in the definition of compact closure by one. We refer to Abramsky and Coecke (2005) for the details.

### 1.3.5 Trace

An essential mathematical instrument in quantum mechanics is the trace of a linear map. In quantum information, extensive use is made of the more general notion of partial trace, which is used to trace out a subsystem of a compound system.

A general categorical axiomatization of the notion of partial trace has been given by Joyal, Street and Verity Joyal et al. (1996). A trace in a symmetric monoidal category $\mathcal{C}$ is a family of functions

$$
\operatorname{Tr}_{A, B}^{U}: \mathcal{C}(A \otimes U, B \otimes U) \longrightarrow \mathcal{C}(A, B)
$$

for objects $A, B, U$ of $\mathcal{C}$, satisfying a number of axioms, for which we refer to Joyal et al. (1996) or ?Abramsky (2005). This specializes to yield the total trace for endomorphisms by taking $A=B=I$. In this case, $\operatorname{Tr}(f)=\operatorname{Tr}_{I, I}^{U}(f): I \rightarrow I$ is a scalar. Expected properties such as the invariance of the trace under cyclic permutations

$$
\operatorname{Tr}(g \circ f)=\operatorname{Tr}(f \circ g)
$$

follow from the general axioms.
Any compact closed category carries a canonical (in fact, a unique) trace. For an endomorphism $f: A \rightarrow A$, the total trace is defined by

$$
\operatorname{Tr}(f)=\epsilon_{A} \circ\left(f \otimes 1_{A^{*}}\right) \circ \sigma_{A^{*}, A} \circ \eta_{A}
$$

This definition gives rise to the standard notion of trace in FdHilb.

### 1.3.6 Graphical Representation

Complex algebraic expressions for morphisms in symmetric monoidal categories can rapidly become hard to read. Graphical representations exploit two-dimensionality, with the vertical dimension corresponding to composition and the horizontal to the monoidal tensor, and provide more intuitive presentations of morphisms. We depict objects by wires, morphisms by boxes with input and output wires, composition by connecting outputs to inputs, and the monoidal tensor by locating boxes side-by-side.

Algebraically, these correspond to:
$1_{A}: A \rightarrow A, \quad f: A \rightarrow B, \quad g \circ f, \quad 1_{A} \otimes 1_{B}, \quad f \otimes 1_{C}, \quad f \otimes g, \quad(f \otimes g) \circ h$
respectively. (The convention in these diagrams is that the 'upward' vertical direction represents progress of time.)

Kets, Bras and Scalars: A special role is played by boxes with either no input or no output, i.e. arrows of the form $I \longrightarrow A$ or $A \longrightarrow I$ respectively, where $I$ is the unit of the tensor. In the setting of FdHilb and Quantum Mechanics, they correspond to states and costates respectively (cf. Dirac's kets and bras Dirac (1947)), which we depict by triangles. Scalars then arise naturally by composing these elements (cf. inner-product or Dirac's bra-ket):


Formally, scalars are arrows of the form $I \longrightarrow I$. In the physical context, they provide numbers ("probability amplitudes" etc.). For example, in FdHilb, the tensor unit is $\mathbb{C}$, the complex numbers, and a linear map $s: \mathbb{C} \longrightarrow \mathbb{C}$ is determined by a single number, $s(1)$. In Rel, the scalars are the boolean semiring $\{0,1\}$.

This graphical notation can be seen as a substantial two-dimensional generalization of Dirac notation Dirac (1947):

$$
\langle\phi| \quad|\psi\rangle \quad\langle\phi \mid \psi\rangle
$$

Note how the geometry of the plane (more precisely, the fact that these diagrams are taken modulo planar isotopy) absorbs functoriality and naturality conditions, e.g.:


Cups and Caps We introduce a special diagrammatic notation for the unit and counit.

$\epsilon_{A}: A \otimes A^{*} \longrightarrow I$

$\eta_{A}: I \longrightarrow A^{*} \otimes A$.

The lines indicate the information flow accomplished by these operations.

Compact Closure The basic algebraic laws for units and counits become diagrammatically evident in terms of the information-flow lines:


$$
\left(\epsilon_{A} \otimes 1_{A}\right) \circ\left(1_{A} \otimes \eta_{A}\right)=1_{A}
$$


$\left(1_{A^{*}} \otimes \epsilon_{A}\right) \circ\left(\eta_{A} \otimes 1_{A^{*}}\right)=1_{A^{*}}$

Names and Conames in the Graphical Calculus The units and counits are powerful; they allow us to define a closed structure on the category. In particular, we can form the name $\ulcorner f\urcorner$ of any arrow $f: A \rightarrow$ $B$, as a special case of $\lambda$-abstraction, and dually the coname $\llcorner f\lrcorner$ :

$\llcorner f\lrcorner: A \otimes B^{*} \rightarrow I$


$$
\ulcorner f\urcorner: I \rightarrow A^{*} \otimes B
$$

This is the general form of Map-State duality:

$$
\mathcal{C}\left(A \otimes B^{*}, I\right) \cong \mathcal{C}(A, B) \cong \mathcal{C}\left(I, A^{*} \otimes B\right)
$$

### 1.3.7 Formalizing Quantum Information Flow

In this section, we give a brief glimpse of categorical quantum mechanics. While not needed for the results to follow, it provides the motivating context for them. For further details, see e.g. Abramsky and Coecke (2008).

### 1.3.7.1 Quantum Entanglement

We consider for illustration two standard examples of two-qubit entangled states, the Bell state:

$$
|00\rangle+|11\rangle
$$

and the EPR state:

$$
|01\rangle+|10\rangle
$$

In quantum mechanics, compound systems are represented by the tensor product of Hilbert spaces: $\mathcal{H}_{1} \otimes \mathcal{H}_{2}$. A typical element of the tensor product has the form:

$$
\sum_{i} \lambda_{i} \cdot \phi_{i} \otimes \psi_{i}
$$

where $\phi_{i}, \psi_{i}$ range over basis vectors, and the coefficients $\lambda_{i}$ are complex numbers. Superposition encodes correlation: in the Bell state, the off-diagonal elements have zero coefficients. This gives rise to Einstein's "spooky action at a distance". Even if the particles are spatially separated, measuring one has an effect on the state of the other. In the Bell state, for example, when we measure one of the two qubits we may get either 0 or 1 , but once this result has been obtained, it is certain that the result of measuring the other qubit will be the same.

This leads to Bell's famous theorem Bell (1964): QM is essentially non-local, in the sense that the correlations it predicts exceed those of any "local realistic theory".

## From 'paradox' to 'feature': Teleportation



Alice
Bob

In the teleportation protocol Bennet et al. (1993), Alice sends an unknown qubit $\phi$ to Bob, using a shared Bell pair as a "quantum channel". By performing a measurement in the Bell basis on $\phi$ and her half of the
entangled pair, a collapse is induced on Bob's qubit. Once the result $x$ of Alice's measurement is transmitted by classical communication to Bob (there are four possible measurement outcomes, hence this requires two classical bits), Bob can perform a corresponding unitary correction $U_{x}$ on his qubit, after which it will be in the state $\phi$.

### 1.3.7.2 Categorical Quantum Mechanics and Diagrammatics

We now outline the categorical approach to quantum mechanics developed in Abramsky and Coecke $(2004,2005)$. The same graphical calculus and underlying algebraic structure which we have seen in the previous section has been applied to quantum information and computation, yielding an incisive analysis of quantum information flow, and powerful and illuminating methods for reasoning about quantum informatic processes and protocols Abramsky and Coecke (2004).

Bell States and Costates: The cups and caps we have already seen in the guise of deficit and cancellation operations, now take on the rôle of Bell states and costates (or preparation and test of Bell states), the fundamental building blocks of quantum entanglement. (Mathematically, they arise as the transpose and co-transpose of the identity, which exist in any finite-dimensional Hilbert space by "map-state duality").


The formation of names and conames of arrows (i.e. map-state and map-costate duality) is conveniently depicted thus:


The key lemma in exposing the quantum information flow in (bipartite) entangled quantum systems can be formulated diagrammatically as follows:


Note in particular the interesting phenomenon of "apparent reversal of the causal order" . While on the left, physically, we first prepare the state labeled $g$ and then apply the costate labeled $f$, the global effect is as if we first applied $f$ itself first, and only then $g$.

Derivation of quantum teleportation. This is the most basic application of compositionality in action. We can read off the basic quantum mechanical potential for teleportation immediately from the geometry of Bell states and costates:


The Bell state forming the shared channel between Alice and Bob appears as the downwards triangle in the diagram; the Bell costate forming one of the possible measurement branches is the upwards triangle. The information flow of the input qubit from Alice to Bob is then immediately evident from the diagrammatics.

This is not quite the whole story, because of the non-deterministic nature of measurements. But in fact, allowing for this shows the underlying design principle for the teleporation protocol. Namely, we find a measurement basis such that each possible branch $i$ through the measurement is labelled, under map-state duality, with a unitary map $f_{i}$. The corresponding correction is then just the inverse map $f_{i}^{-1}$. Using our lemma, the full description of teleportation becomes:


### 1.4 No-Cloning

Note that the proof of Joyal's lemma given in Section 1.2.1 makes full use of both diagonals and projections, i.e. of both cloning and deleting. Our aim is to examine cloning and deleting as separate principles, and to see how far each in isolation is compatible with the strong form of duality which, as we have seen, plays a basic structural rôle in the categorical axiomatization of quantum mechanics, and applies very directly to the analysis of entanglement.

### 1.4.1 Axiomatizing Cloning

Our first task is to axiomatize cloning as a uniform operation in the setting of a symmetric monoidal category.

As a preliminary, we recall the notions of monoidal functor and monoidal natural transformation. Let $\mathcal{C}$ and $\mathcal{D}$ be monoidal categories. A (strong) monoidal functor $(F, e, m): \mathcal{C} \longrightarrow \mathcal{D}$ comprises:

- A functor $F: \mathcal{C} \longrightarrow \mathcal{D}$
- An isomorphism $e: I \cong F I$
- A natural isomorphism $m_{A, B}: F A \otimes F B \longrightarrow F(A \otimes B)$
subject to various coherence conditions.
Let $(F, e, m),\left(G, e^{\prime}, m^{\prime}\right): \mathcal{C} \longrightarrow \mathcal{D}$ be monoidal functors. A monoidal natural transformation between them is a natural transformation $t$ : $F \xrightarrow{\bullet} G$ such that


We say that a monoidal category has uniform cloning if it has a diagonal, i.e. a monoidal natural transformation

$$
\Delta_{A}: A \longrightarrow A \otimes A
$$

which is moreover coassociative and cocommutative:


Note that in the case when the monoidal structure is induced by a product, the standard diagonal

$$
\Delta_{A}: A \xrightarrow{\left\langle 1_{A}, 1_{A}\right\rangle} A \times A
$$

automatically satisfies all these properties.
To simplify the presentation, we shall henceforth make the assumption that the monoidal categories we consider are strictly associative. This is a standard manouevre, and by the coherence theorem for monoidal categories MacLane (1998) is harmless.
Note that the functor $A \mapsto A \otimes A$ which is the codomain of the diagonal has as its monoidal structure maps

$$
\begin{gathered}
m_{A, B}=A \otimes B \otimes A \otimes B \xrightarrow{1 \otimes \sigma \otimes 1} A \otimes A \otimes B \otimes B \\
e=I \xrightarrow{l_{I}^{-1}} I \otimes I .
\end{gathered}
$$

Of course the identity functor, which is the domain of the diagonal, has identity morphisms as its structure maps.

### 1.4.2 Compact categories with cloning (almost) collapse

Theorem 11 Let $\mathcal{C}$ be a compact category with cloning. Then every endomorphism is a scalar multiple of the identity. More precisely, for $f: A \rightarrow A, f=\operatorname{Tr}(f) \bullet \mathrm{id}_{A}$. This means that for every object $A$ of $\mathcal{C}$, $\mathcal{C}(A, A)$ is a retract of $\mathcal{C}(I, I)$ :

$$
\alpha: \mathcal{C}(A, A) \triangleleft \mathcal{C}(I, I): \beta, \quad \alpha(f)=\operatorname{Tr}(f), \quad \beta(s)=s \bullet \operatorname{id}_{A} .
$$

In a category enriched over vector spaces, this means that each endomorphism algebra is one-dimensional. In the cartesian case, there is a unique scalar, and we recover the reflexive part of the posetal collapse of Joyal's lemma. But in general, the collapse given by our result is of a different nature to that of Joyal's lemma, as we shall see later.

Note that our collapse result only refers to endomorphisms. In the dagger-compact case, every morphism $f: A \rightarrow B$ has an associated endomorphism

$$
\sigma \circ\left(f \otimes f^{\dagger}\right): A \otimes B \rightarrow A \otimes B
$$

Moreover the passage to this associated endomorphism can be seen as a kind of "projective quotient" of the original category Coecke (2007). Thus in this case, the collapse given by our theorem can be read as saying that the projective quotient of the category is trivial.

### 1.4.3 Proving the Cloning Collapse Theorem

We shall make some use of the graphical calculus in our proofs. We shall use slightly different conventions from those adopted in the previous section:

- Firstly, the diagrams to follow are to be read downwards rather than upwards.
- Secondly, we shall depict the units and counits of a compact category simply as "cups" and "caps", without any enclosing triangles.

To illustrate these points, the units and counits will be depicted thus:

$\eta_{A}: I \longrightarrow A^{*} \otimes A$

$\epsilon_{A}: A \otimes A^{*} \longrightarrow I$
while the identities for the units and counits in compact categories will appear thus:


The small nodes appearing in these diagrams indicate how the figures are built by composition from basic figures such as cups, caps and identities.

Finally, we shall depict diagonal morphisms diagrammatically by "forking":

for $\Delta: A \rightarrow A \otimes A$.

First step We shall begin by showing that

$$
\text { "parallel caps }=\text { nested caps" }
$$

Diagrammatically:


This amounts to a "confusion of entanglements".
In fact, we shall find it more convenient to prove this result in the following form:

$$
\eta_{A} \otimes \eta_{A}=\left(\begin{array}{llll}
3 & 2 & 1 & 4
\end{array}\right) \circ\left(\eta_{A} \otimes \eta_{A}\right)
$$

Here ( 32114 ) is the permutation acting on the tensor product of four factors which is built from the symmetry isomorphisms in the obvious fashion. Diagrammatically:


Lemma 12 We have $\Delta_{I}=l_{I}^{-1}: I \rightarrow I \otimes I$.
Proof This is an immediate application of the monoidality of $\Delta$, together with $e=l_{I}^{-1}$ for the codomain functor.

Lemma 13 Let $u: I \rightarrow A \otimes B$ be a morphism in a symmetric monoidal category with cloning. Then

$$
u \otimes u=\left(\begin{array}{llll}
3 & 2 & 1 & 4
\end{array}\right) \circ(u \otimes u) .
$$

Proof Consider the following diagram.


The upper square commutes by naturality of $\Delta$. The upper triangle of the lower square commutes by monoidality of $\Delta$. The lower triangle commutes by cocommutativity of $\Delta$ in the first component, and then tensoring with the second component and using the bifunctoriality of the tensor.

Let $f=(u \otimes u) \circ \Delta_{I}$, and $g=\left(\Delta_{A} \otimes \Delta_{B}\right) \circ u$. Then by the above diagram

$$
f=(1 \otimes \sigma \otimes 1) \circ(\sigma \otimes 1) \circ g
$$

A simple computation with permutations shows that

$$
(1 \otimes \sigma \otimes 1) \circ(\sigma \otimes 1)=\left(\begin{array}{lll}
1 & 3 & 2
\end{array}\right) \circ\left(\begin{array}{lll}
2 & 1 & 3
\end{array}\right)=\left(\begin{array}{lll}
3 & 2 & 1
\end{array}\right) \circ(1 \otimes \sigma \otimes 1) .
$$

Appealing to the above diagram again, $f=(1 \otimes \sigma \otimes 1) \circ g$. Hence

$$
\begin{aligned}
f & =(1 \otimes \sigma \otimes 1) \circ(\sigma \otimes 1) \circ g \\
& =\left(\begin{array}{lll}
3 & 2 & 1
\end{array}\right) \circ(1 \otimes \sigma \otimes 1) \circ g \\
& =\left(\begin{array}{llll}
3 & 2 & 1 & 4
\end{array}\right) \circ f .
\end{aligned}
$$

Applying the previous lemma:

$$
u \otimes u=f \circ l_{I}=\left(\begin{array}{lll}
3 & 2 & 1
\end{array}\right) \circ f \circ l_{I}=\left(\begin{array}{lll}
3 & 2 & 1
\end{array}\right) \circ(u \otimes u) .
$$

Diagrammatically, this can be presented as follows:

and hence


Note that this lemma is proved in generality, for any morphism $u$ of the required shape. However, we shall, as expected, apply it by taking $u=\eta_{A}$. It will be convenient to give the remainder of the proof in diagrammatic form.

Second step We use the first step to show that
the twist map = the identity
in a compact category with cloning, by putting parallel and serial caps in a common context and simplifying using the triangular identities.

The context is:


We get:

and:


We used the original picture of nested caps for clarity. If we use the picture directly corresponding to the statement of lemma 13 , we obtain the same result:


The important point is that the left input is connected to the right output, and the right input to the left output.

Third step Finally, we use the trace to show that any endomorphism $f: A \longrightarrow A$ is a scalar multiple of the identity:

$$
f=s \bullet 1_{A}
$$

for $s=\operatorname{Tr}(f)$.


This completes the proof of the Cloning Collapse Theorem 11.

### 1.4.4 Examples

We note another consequence of cloning.
Proposition 14 In a monoidal category with cloning, the multiplication of scalars is idempotent.
Proof This follows immediately from naturality

together with lemma 12.
Thus the scalars form a commutative, idempotent monoid, i.e a semilattice.

Given any semilattice $S$, we regard it qua monoid as a one-object category, say with object •. We can define a trivial strict monoidal structure on this category, with

$$
\bullet \otimes \bullet=\bullet=I
$$

Bifunctoriality follows from commutativity. A natural diagonal is also given trivially by the identity element (which is the top element of the induced partial order, if we view the semilattice operation as meet). Units and counits are also given trivially by the identity. Note that the scalars in this category are of course just the elements of $S$.

Thus any semilattice yields an example of a (trivial) compact category with cloning. Note the contrast with Joyal's lemma. While every boolean algebra is of course a semilattice, it forms a degenerate cartesian closed category as a poset, with many objects but at most one morphism in each homset. The degenerate categories we are considering are categories qua monoids, with arbitrarily large hom-sets, but only one object. Posets and monoids are opposite extremal examples of categories, which appear as contrasting degenerate examples allowed by these no-go results.

Note that our result as it stands is not directly comparable with Joyal's, since our hypotheses are weaker insofar as we only assume a
monoidal diagonal rather than full cartesian structure, but stronger insofar as we assume compact closure. A boolean algebra which is compact closed qua category is necessarily the trivial, one-element poset, since meets and joins - and in particular the top and bottom of the lattice - are identified.

### 1.4.5 Discussion

The Cloning Collapse theorem can be read as a No-Go theorem. It says that it is not possible to combine basic structural features of quantum entanglement with a uniform cloning operation without collapsing to degeneracy. It should be understood that the key point here is the uniformity of the cloning operation, which is formalized as the monoidal naturality of the diagonal. A suitable intuition is to think of this as corresponding to basis-independence. $\dagger$ The distinction is between an operation that exists in a representation-independent form, for logical reasons, as compared to operations which do intrinsically depend on specific representations.

In fact, in turns out that much significant quantum structure can be captured in our categorical setting by non-uniform copying operations Coecke and Pavlovic (2007). Given a choice of basis for a finitedimensional Hilbert space $\mathcal{H}$, one can define a diagonal

$$
|i\rangle \mapsto|i i\rangle .
$$

This is coassociative and cocommutative, and extends to a comonoid structure. Applying the dagger yields a commutative monoid structures, and the two structures interact by the Frobenius law. It can be shown that such "dagger Frobenius structures" on finite-dimensional Hilbert spaces correspond exactly to bases. Since bases correspond to "choice of measurement context", these structures can be used to formalize quantum measurements, and quantum protocols involving such measurements Coecke and Pavlovic (2007).

It is of the essence of quantum mechanics that many such structures can coexist on the same system, leading to the idea of incompatible measurements. This too has been axiomatized in the categorical setting, enabling the effective description of many central features of quantum computation Coecke and Duncan (2008).
$\dagger$ In fact, the original example which led Eilenberg and Mac Lane to define naturality was the naturality of the isomorphism from a finite-dimensional vector space to its second dual, as compared with the non-natural isomorphism to the first dual.

Thus the No-Go result is delicately poised on the issue of naturality. It seems possible that a rather sharp delineation between quantum and classical, and more generally a classification of the space of possible theories incorporating various features, may be achieved by further development of these ideas.

### 1.5 No-Deleting

The issue of No-deleting is much simpler from the current perspective. A uniform deleting operation is a monoidal natural transformation $d_{A}$ : $A \rightarrow I$. Note that the domain of this transformation is the identity functor, while the codomain is the constant functor valued at $I$. The following result was originally observed by Bob Coecke in the dagger compact case:

Proposition 15 If a compact category has uniform deleting, then it is a preorder.
Proof Given $f: A \longrightarrow B$, consider the naturality square


By monoidal naturality, $d_{I}=1_{I}$. So for all $f, g: A \longrightarrow B$ :

$$
\llcorner f\lrcorner=d_{A \otimes B^{*}}=\llcorner g\lrcorner
$$

and hence $f=g$.

### 1.6 Further Directions

We conclude by discussing some further developments and possible extensions of these ideas.

- In a forthcoming joint paper with Bob Coecke, the results are extended to cover No-Broadcasting by lifting the Cloning Collapse theorem to the CPM category Selinger (2007), which provides a categorical treatment of mixed states.
- The proof of the Cloning Collapse theorem makes essential use of compactness.
Open Question Are there non-trivial examples of $*$-autonomous categories with uniform cloning operations?
One can also consider various possible sharpenings of our results, by weakening the hypotheses, e.g. on monoidality of the diagonal, or by strengthening the conclusions, to a more definitive form of collapse.
- Finally, the rôle of scalars in these results hints at the relevance of projectivity ideas Coecke (2007), which should be developed further in the abstract setting.

Altogether, these results, while preliminary, suggest that the categorical axiomatization of quantum mechanics in Abramsky and Coecke (2004, 2005,2008 ) does indeed open up a novel and fruitful perspective on NoGo Theorems and other foundational results. Moreover, these foundational topics in physics can usefully be informed by results and concepts stemming from categorical logic and theoretical computer science.

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[^0]:    $\dagger$ We shall often use the abbreviated form "compact categories" instead of "compact closed categories".

